



PO7 42713

CEDARS

cc: Fu

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SEPTEMBER 20, 1999

PTAS

DANIEL C. MALLERY
444 SOUTH FLOWER STREET, 19TH FLOOR
LOS ANGELES, CA 90071-2909



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/15/1999

REEL/FRAME: 010096/0878
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHEN, PENG-SHENG

DOC DATE: 07/09/1999

ASSIGNEE:

CEDARS-SINAI MEDICAL CENTER
8700 BEVERLY BOULEVARD
LOS ANGELES, CALIFORNIA 90049-1865

SERIAL NUMBER: 09307230
PATENT NUMBER:

FILING DATE: 05/07/1999
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

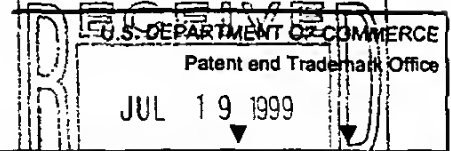
07-20-1999



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FORM COVER SHEET
NTS ONLY

Pat No.: P07 42713



Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
PENG-SHENG CHEN

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance: **MRD 7-19-99**

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **July 9, 1999**

2. Name and address of receiving party(ies):

Name: **CEDARS-SINAI MEDICAL CENTER**

Address: **8700 Beverly Boulevard**

City: **Los Angeles,**

State/Prov.: **CA**

Country: **U.S.A.**

ZIP: **90049-1865**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/307,230

May 7, 1999

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Daniel C. Mallery, Esq.**

Registration No. **33,532**

Address: **444 So. Flower Street, 19th Floor**

City: **Los Angeles,**

State/Prov.: **CA**

Country: **U.S.A.**

ZIP: **90071-2909**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-2460

07/19/1999 MTHAI1 00000300 09307230

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9. Statement and signature.

40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel C. Mallery, Esq.

Name of Person Signing

Signature

July 15, 1999

Date

Total number of pages including cover sheet, attachments, and

3

ASSIGNMENT

This Assignment is made by Peng-Sheng Chen of Los Angeles, California ("Assignor") to CEDARS-SINAI MEDICAL CENTER, a California corporation, having a place of business at 8700 Beverly Boulevard, Los Angeles, California 90049-1865 ("Assignee").

WHEREAS, Assignor has invented a new and useful METHOD AND APPARATUS FOR INDUCING VENTRICULAR ARRHYTHMIAS IN TEST ANIMALS; for which an application for United States Letters Patent was filed on May 7, 1999 in the United States Patent and Trademark Office and assigned Serial No. 09/307,230 and identified as Attorney Docket No. P07 42713;

WHEREAS, Assignor believes himself to be the original inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Letters Patent in the United States and throughout the world for said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the Assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date provided below.

ASSIGNOR:

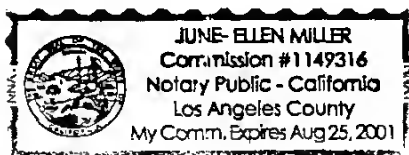
Dated: 7/9, 1999

Peng-Sheng Chen
PENG-SHENG CHEN

State of California)
County of Los Angeles) ss.

On this 9th day of July, in the year of 1999, before me personally appeared Peng-Sheng Chen, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.



June- Ellen Miller
Notary Public in and for Said
County and State

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit:	3737
Peng-Sheng Chen)		
)	Examiner:	To Be Assigned
)		
Serial No.: 09/307,230)		
)		
Filed: May 7, 1999)		
)		
For:		METHOD AND APPARATUS FOR INDUCING VENTRICULAR ARRHYTHMIAS IN TEST ANIMALS	

CERTIFICATE UNDER 37 C.F.R. 3.73(b)
ESTABLISHING RIGHT OF ASSIGNEE TO PROSECUTE

CEDARS-SINAI MEDICAL CENTER, a non-profit, public benefit corporation duly organized and existing under the laws of the State of California, certifies that it is the Assignee of the entire, right, title and interest in the patent application identified above by virtue of and assignment from the inventor of the patent application identified above. A copy of the Assignment is attached.

The undersigned has reviewed all of the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in CEDARS-SINAI MEDICAL CENTER.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of CEDARS-SINAI MEDICAL CENTER.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States

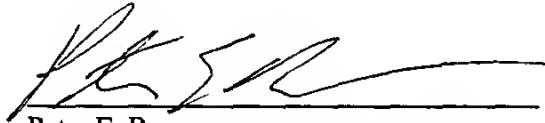
10033400-102701

Docket No.: P07 42713

PATENT

Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9 July 1999



Peter E. Braveman
Senior Vice President - Legal Affairs
and General Counsel

PATENT 0042713